

Appendix 1

Corporate Risk Register 2015/16

Impact	High	AMBER	AMBER	RED
	Med	GREEN	AMBER	AMBER
	Low	GREEN	GREEN	GREEN
		Low	Med	High
		Likelihood		

Corp. Obj	Risk Description	Existing controls	Risk before mitigation	Additional mitigating action	Risk rating with mitigating action Lxl (expressed as Green, Amber or Red)						Timeframe of action	Lead officer	How monitor/indicator	Quarterly update
						Start	Q1	Q2	Q3	Q4				
C1	1. Loss of performance/delivery in a time of uncertainty as a result of staff not being engaged and motivated	Communications plan in place	Likelihood: Medium Impact: High	Updating Change Management Policy and processes	Impact	High					Dec '15	RMM	SMT RMT Quarterly reporting process	
					Likelihood	Medium								
					Rating	AMBER								

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					Start	Q1	Q2	Q3	Q4					
C2	2. Insufficient plans in place to realise financial sustainability of our properties in future years (updated risk from 2014/15 – risk 9)	Integrated Property Board to oversee work programme. Management Plan in place for Trails	Likelihood: Medium Impact: High	a)Effective business plans in place for all properties, and actioned. b)Management plans developed for all properties c)Funding of business cases to support delivery	Impact	High					a) Sept '15	RG	RMT/ SMT Quarterly monitoring	
					Likelihood	Medium					b) Mar '16			
					Rating	AMBER					c) Mar '16			

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						Start	Q1	Q2	Q3	Q4				
C2	3. Failure to ensure robust financial analysis and financial objectives in the assessment of significant capital investment proposals (carried over from 2014/15 – risk 7)	Managed through RMT Committee reports	Impact HIGH Likelihood MED AMBER	Feasibility studies conducted	Impact	High					Jun '15	RG	RMT Quarterly monitoring	
					Likelihood	Low								
					Rating	AMBER								

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Corp. Obj	Risk Description	Existing controls	Risk before mitigation	Additional mitigating action	Risk rating with mitigating action LxI (expressed as Green, Amber or Red)						Timeframe of action	Lead officer	How monitor/indicator	Quarterly update
						Start	Q1	Q2	Q3	Q4				
C3	4. Failure to deliver in a way that we increase ownership and understanding of our policies among communities	The way we work with Members. Current communication with communities.	Likelihood: Medium Impact: Medium	a) Develop a start to end customer relationship management approach. b) Further develop communication with our communities.	Impact	Medium					a) March 2016 b) ongoing	JS	Quarterly monitoring	
					Likelihood	Medium								
					Rating	AMBER								

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						Start	Q1	Q2	Q3	Q4				
C4	5. Failure to commit, and plan, to replace our ICT infrastructure in 2015/16 to underpin our corporate strategy (updated risk from 2014/15 – risk 5)	Information Management Strategy developed	Likelihood: Low Impact: High	Business case preparation for ARP report	Impact	High					July '15 ARP. Implementation by Mar '16	RMM	RMT ARP Quarterly monitoring	
					Likelihood	Low								
					Rating	AMBER								

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						Start	Q1	Q2	Q3	Q4				
C4	7. Failure to effectively communicate our corporate direction to external audiences	Development of our brand values.	Likelihood: Medium Impact: Medium	a) Use our assets to demonstrate our approach	Impact	Medium					a) Delivery against Asset Management Asset Plan	RMM	Quarterly monitoring	
				b) External communications plan.	Likelihood	Medium					b) Sept '15 Authority and ongoing			
				c) Agree our strategic framework.	Rating	AMBER					c) Dec '15			

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S1	8. Failure to have the appropriate commercial skills to help us diversify income streams (updated risk from 2014/15 – risk 6)	Financial planning work	Likelihood: High Impact: High	a) Develop the design of the organisation around our strategy.	Impact	High					Sept '15	SF	RMT Quarterly monitoring Authority	
					Likelihood	High								
					Rating	RED								

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S1	9. Failure to have a clear, effective and well planned commercial programme in place (updated from 2014/15 register – risk 10)	Enterprise + Board	Likelihood: High Impact: High	a) Review pilot activities and projects to date b) Prepare a programme approach to deliver against corporate indicators/targets	Impact	High					a) July '15	SF	SMT Quarterly monitoring	
					Likelihood	High					b) Sept '15			
					Rating	RED								

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S2	10. Moors for the Future (Moorlife 2020) failure of: a) the Authority providing an increased level of support to MFF b) partners contributing sufficiently c) delivering against the project objectives.	MFF business plan in place. RMT risk assessment.	Likelihood: High Impact: High	Programme and project planning of activity for timely decision making	Impact	High					a) Dec '15	JC	RMT/ SMT Strategic Management Group (MFF) Quarterly monitoring	
					Likelihood	High								
					Rating	RED								